

NYMEX/COMEX – Lease Information Form

1. Lease Type

New Renewal

2. Seat Owner Name (“Transferor”)

3. Lessee Name (“Transferee”)

4. Business Relationship (please select one) Yes No

If you answered ‘Yes’ and a business relationship exists, please check one:

Broker Association Clearing Relationship Employer-Employee Family

5. Please provide lease information.

Exchange	Division	Membership Number	Term of Lease	Lease Begin Date	Lease End Date	Price

NYMEX/COMEX Exchange Rule 106.D.6 states “The transferee’s guarantor(s) shall have no right of indemnification against the transferor for any and all claims against the transferee which have been satisfied in accordance with the terms of the guarantee. The transferor shall neither be liable for nor shall the transferred membership be used to satisfy any and all claims against the transferee which have not been satisfied by the transferee and/or the transferee’s guarantor(s). Transferees shall have continuing personal liability for any claims which accrued during the term of the transfer which were not satisfied by the transferee’s guarantor(s); for the payment of disciplinary fines assessed against the transferee which were not otherwise satisfied; and for any other financial obligations to the Exchange and/or its members that were not otherwise satisfied.”

NYMEX/COMEX Exchange Rule 106.D.12 states: “A lessor shall notify the Exchange, in a manner designated by the Exchange, of the terms of a new lease agreement or any renewal of a lease agreement within two (2) business days of the negotiation of the lease agreement, or renewal thereof. A lessor’s failure to comply with this Rule 106.D.12 may result in the issuance of a warning letter to the lessor. A lessor may then be subject to an automatic fine of \$500 and \$1000 respectively for a second and third violation of this rule within a rolling 12-month period from the issuance of the warning letter. Repeated violations of this rule may be referred to a disciplinary committee.”

Signed (on behalf of the Transferor – Seat Owner)

Signed (on behalf of Transferee – Lessee)

Name (printed)

Name (printed)

Date

Date

Please scan & email a completed copy of this form to: (seatmarket@cmegroup.com)
 Membership Commercial