

**CBOT OWNER/DELEGATE FEE TIER STRUCTURE Listing File Layout**

**BROKER**

POSITION FROM TO		FIELD NAME AND FORM	FORMAT	DESCRIPTION AND COMMENTS
1	2	RECORD ID	A/N(2)	BR BR RECORD
3	4	EXCHANGE_CODE	N(2)	01 FOR CBOT
5	5	FILLER		RESERVED FOR FUTURE USE
6	10	BADGE	A/N (5)	MAX. 5 BADGE ACRONYM
11	24	LAST NAME	A/N (14)	UP TO FOURTEEN CHARACTERS OF LAST NAME
25	36	FIRST NAME	A/N(12)	UP TO TWELVE CHARACTERS OF FIRST NAME
37	37	MIDDLE INITIAL	A/N (1)	MIDDLE INITIAL
38	40	FILLER		RESERVED FOR FUTURE USE. SESSION FOR WHICH DELEGATE IS LEASING SEAT. 00 = ALL. CBOT DOES NOT HAVE DAY OR NIGHT ONLY LEASES ANY MORE.
41	42	SESSION INDICATOR	N(2)	010 = FULL 050 = ASSOCIATE 110: GIM, 120 = IDEM, 130 = COM. FOR COMPLETE LIST, REFER TO MEMBERSHIP DEPT.
43	45	MEMBERSHIP TYPE	N(3)	CBOT DOES NOT ACCESS CHARGE BASED ON THIS FIELD AS OF 10/1/2002.
46	47	DELEGATION TIER	N(2)	
48	80	NOT USED		

## CBOT OWNER/DELEGATE FEE TIER STRUCTURE Listing File Layout

### COMMODITY

POSITION FROM TO		FILED NAME AND FORM	FORMAT	DESCRIPTION AND COMMENTS
1	2	RECORD TYPE	A/N(2)	<b>VALUE CM</b>
3	4	EXCHANGE_CODE	N(2)	01 = CBOT
5	7	MEMBERSHIP TYPE	N(3)	010 = FULL, 050 = ASSOCIATE, 110 GIM, 120 = IDEM, 130 = COM
8	9	COMMODITY CODE	A/N(2)	COMMODITY CODE ASSIGNED BY CLEARING HOUSE (THIS IS NOT TICKER SYMBOL)
10	10	FUTURE/OPTION	A/N(1)	BLANK = FUTURES, O=OPTIONS
11	80	NOT USED		

**CBOT OWNER/DELEGATE FEE TIER STRUCTURE Listing File Layout**

**FIRM INFORMATION**

POSITION FROM TO		FIELD NAME AND FORM	FORMAT	DESCRIPTION AND COMMENTS
1	2	RECORD TYPE	A/N(2)	FI
3	6	CBOT FIRM NUMBER	N(4)	FOUR CHARACTER MEMBER FIRM NUMBER.
7	56	FIRM NAME	A/N(50)	FIRM'S FULL NAME
57	58	NATURE OF BUSINESS #1	A/N(2)	<b>1</b> Clear customer business <b>2</b> Non-clearing firm handling customers' business on an omnibus basis <b>3A</b> Non-clearing firm handling customers' business on a disclosed (FCM) basis <b>3B</b> Non-clearing firm handling customers' business on a disclosed (agent) basis <b>4</b> Clearinghouse trades <b>5A</b> Non-clearing hedger <b>5B</b> Non-clearing cash grain firm <b>5C</b> Non-clearing financial institution <b>5D</b> Other business <b>6</b> Reserved for future use
59	60	NATURE OF BUSINESS #2	A/N (2)	<b>FIRM CAN HAVE MORE THAN ONE BUSINESS TYPE (REFER TO LINE #31 FOR DETAILED DESCRIPTION OF EACH BUSINESS CATEGORY.)</b>
61	62	NATURE OF BUSINESS #3	A/N (2)	<b>FIRM CAN HAVE MORE THAN ONE BUSINESS TYPE (REFER TO LINE #31 FOR DETAILED DESCRIPTION OF EACH BUSINESS CATEGORY.)</b>
63	64	NATURE OF BUSINESS #4	A/N (2)	<b>FIRM CAN HAVE MORE THAN ONE BUSINESS TYPE (REFER TO LINE #31 FOR DETAILED DESCRIPTION OF EACH BUSINESS CATEGORY.)</b>
65	72	FIRM START DATE	N(8)	YYYY = YEAR, MM = MONTH, DD = DAY
73	80	FIRM END DATE	N(8)	AN EIGHT CHARACTER FIRM END DATE

**CBOT OWNER/DELEGATE FEE TIER STRUCTURE Listing File Layout**

**NATURE OF BUSINESS**

POSITION FROM TO		FIELD NAME AND FORM	FORMAT	DESCRIPTION AND COMMENTS
1	2	RECORD TYPE	A/N(2)	<b>NB</b> FOOT NOTE/NOTE RECORD
3	4	NATURE OF BUSINESS	A/N(2)	<b>1</b> Clear customer business <b>2</b> Non-clearing firm handling customers' business on an omnibus basis <b>3A</b> Non-clearing firm handling customers' business on a disclosed (FCM) basis <b>3B</b> Non-clearing firm handling customers' business on a disclosed (agent) basis <b>4</b> Clearinghouse trades <b>5A</b> Non-clearing hedger <b>5B</b> Non-clearing cash grain firm <b>5C</b> Non-clearing financial institution <b>5D</b> Other business <b>6</b> Reserved for future use
5	80	NOT USED	A/N(76)	